| 1. | Name of Listed Entity: Sun Pharmaceutical Industries Limited |  |
| :---: | :--- | :--- |
| 2. | Scrip Code/Name of Scrip/Class of Security: 524715 |  |
| 3. | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) |  |
|  | a. | If under 31(1)(b) then indicate the report for Quarter ending: 31.03.2021 |
|  | b. | If under 31(1)(c) then indicate date of allotment/extinguishment |
| 4. | Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-- |  |


|  |  | Particulars | Yes $^{*}$ | No* $^{*}$ |
| :---: | :---: | :--- | :---: | :---: |
|  | 1 | Whether the Listed Entity has issued any partly paid up shares? | No |  |
|  | 2 | Whether the Listed Entity has issued any Convertible Securities? | No |  |
|  | 3 | Whether the Listed Entity has issued any Warrants? | No |  |
|  | 4 | Whether the Listed Entity has any shares against which depository receipts are issued? |  | No |
|  | 5 | Whether the Listed Entity has any shares in locked-in? | No |  |
|  | 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | Yes |  |
|  | 7 | Whether company has equity shares with differential voting rights? | No |  |
|  | 8 | Whether the listed entity has any significant beneficial owner? | Yes |  |

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



## Sun Pharmaceutical Industries Limited



Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

## Note

(1) PAN would not be displayed on website of Stock Exchange(s)
(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



 transferred in the name of SFPL but the PAN has not been updated by the Depository Participant and still reflects the PAN of erstwhile Sholapur Organics Private Limited.
 Limited with the shareholding of SFPL


| Category \& Name of the shareholders |  | Nos. of shareho Iders | No. of fully paid up equity shares held | Partly paid-up equity shares held | No. of shares underlyin g Deposito ry Receipts | Total nos. shares held | Shareholdin g \% calculated as per SCRR, 1957 As a \% of (A+B+C2) | Number of Voting Rights held in each class of securities |  |  |  |  | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding, as a \% assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares |  | Number of Shares pledged or otherwise encumbered |  | Number of equity shares held in demateriali sed form |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | No of Voting Rights |  |  |  |  |  | Total as a \% of (A+B+C) |  | As a \% of total |  | As a \% of total |  |  |  |
|  |  | $\begin{array}{\|l} \text { Class } \\ \text { eg: } \mathrm{X} \\ \hline \end{array}$ |  |  |  |  |  |  |  | Class eg: y | Total | No. (a) |  |  | Shares held(b) |  | Shares held(b) |  |
|  | (1) |  | (III) | (IV) | (V) | (VI) | $\begin{gathered} (\mathrm{VII})= \\ (\mathrm{IV})+(\mathrm{V})+(\mathrm{VI}) \end{gathered}$ | (VIII) As a \% $\text { of }(A+B+C 2)$ | (IX) |  |  |  |  | (X) | $\begin{aligned} & \text { (XI)=(VII)+(X) As } \\ & \text { a \% of (A+B+C2) } \end{aligned}$ | (XII) |  | (XIII) |  | (XIV) |
| 1 | Custodian/DR Holder |  | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | NA | NA |  |
| 2 | Employee Benefit Trust (under SEBI (Share based <br> Employee Benefit) Regulations, 2014) | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  | NA | NA |  |
|  | Total Non-Promoter- Non Public Shareholding $(C)=(C)(1)+(C)(2)$ | 0 | 0 | 0 | 0 | 0 | 0 |  | 0 |  | 0 | 0 | 0 | 0 | 0 |  | NA | NA |  |

## Not

(1) PAN would not be displayed on website of Stock Exchange(s).
(2) The above format needs to disclose name of all holders holding more than $1 \%$ of total number of shares
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

## Sun Pharmaceutical Industries Limited

Table V - Statement showing details of significant beneficial owners

| $\begin{array}{\|l} \hline \text { Sr. } \\ \text { No. } \end{array}$ | Details of the significant beneficial owner <br> (I) |  | Details of the registered owner <br> (II) |  | ${ }^{(1)}$ Detials of holding / exercise of right of the SBO in the reporting company, whether direct or indirect* <br> (III) |  |  |  |  | ${ }^{(2)}$ Date of creation / acquisition of significant beneficial interest\# (IV) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | whether by virtue of: |  |
|  | Name | Nationality |  |  | Name | Nationality | Shares* | Voting rights* | Rights on Distributable Dividend or any other distribution* |  | Exercise of Control | Exercise of significant influence |
| 1 | Dilip S. Shanghvi | Indian | Shanghvi Finance Private Limited ${ }^{\$}$ | Indian | 40.30 | 40.30 | 40.30 | No | No | 13-06-2018 |
| 2 | Dilip S. Shanghvi | Indian | Flamboyawer Finance Private Limited | Indian | 0.00 | 0.00 | 0.00 | No | No | 13-06-2018 |
| 3 | Dilip S. Shanghvi | Indian | Sanghvi Properties Private Limited | Indian | 0.00 | 0.00 | 0.00 | No | No | 13-06-2018 |
| 4 | Dilip S. Shanghvi | Indian | Gujarat Sun Pharmaceutical Industries Pvt Ltd | Indian | 0.00 | 0.00 | 0.00 | No | No | 13-06-2018 |
| 5 | Dilip S. Shanghvi | Indian | Aditya Medisales Limited | Indian | 1.67 | 1.67 | 1.67 | No | No | 13-06-2018 |
| 6 | Dilip S. Shanghvi | Indian | Unimed Investments Limited | Indian | 0.43 | 0.43 | 0.43 | No | No | 13-06-2018 |
| 7 | Dilip S. Shanghvi | Indian | Shanghvi Family \& Friends Benefit Trust (Kumud S. Shanghvi and Dilip S. | Indian | 0.05 | 0.05 | 0.05 | No | No | 13-06-2018 |

${ }^{(1)}$ For Disclosure w.r.t. SBO - Calculations for holdings / exercise of right of the SBO in the reporting company, has been done on the basis of the shareholding of the registered owner in the Company and not on proportionate basis.
${ }^{(2)}$ June 13, 2018, the date on which Section 90 of the Companies Act, 2013 as substituted by the Companies (Amendment) Act, 2017 relating to Significant Beneficial Owner was notified by the Ministry of Corporate Affairs, has been considered as the Date of creation / acquisition of significant beneficial interest for the purpose of this disclosure.
\$Shanghvi Finance Private Limited ("SFPL") which is a part of the Promoter Group of the Company has informed the Company that, pursuant to Scheme of Amalgamation, 1) erstwhile Family Investment Private Limited; and 2) erstwhile Asawari Investment and Finance Private Limited have inter alia got amalgamated w.e.f. 23.10 .2018 with SFPL and thereby the shares held by aforementioned companies in the Company stand transferred in name of SFPL. They also informed that they are in the process of getting the Demat account(s) transferred, which are presently in name of aforementioned companies, to the name of SFPL and advised the Company to club the shareholding of aforementioned companies into the holdings of SFPL.
In addition to above, they have also informed us that for one of the demat account in the name by erstwhile Sholapur Organics Private Limited (which also pursuant to the above referred Scheme of Amalgamation got amalgamated with SFPL) got transferred in the name of SFPL but the PAN has not been updated by the Depository Participant and still reflects the PAN of erstwhile Sholapur Organics Private Limited.
In view of the above, for the purpose of disclosure in Shareholding Pattern and disclosure w.r.t Significant Beneficial Owners (SBO), we have clubbed the shares held in such Demat account(s) and under PAN of erstwhile Sholapur Organics Private Limited with the shareholding of SFPL
 inserted accordingly for each of the categories.
\# This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.

